

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 02307V-139100US
		First Inventor Liu, Haichao
		Title PROCESS FOR THE PRODUCTION OF METHYL FORMATE, DIMETHOXYMETHANE, FORMALDEHYDE AND THEIR MIXTURES BY SELECTIVE OXIDATION OF METHANOL
		Express Mail Label No. EV 330854831 US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning design patent application contents.</small>		ADDRESS TO
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 28] <i>(preferred arrangement set forth below)</i> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets]</p> <p>5. Oath or Declaration [Total Pages]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i></p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>22141 10/663299 TO 09/15/03</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper number of pages</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>
ACCOMPANYING APPLICATIONS PARTS		
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. <input type="checkbox"/> Other:</p>		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	20350	OR	<input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Joel G. Ackerman	Registration No. (Attorney/Agent)	24,307
Signature			Date

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450. 60039411 v1

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1680)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Liu, Haichao
Examiner Name	
Art Unit	
Attorney Docket No.	02307V-139100US

METHOD OF PAYMENT (check all that apply)

Check Credit Card MoneyOrder Other None

Deposit Account:

Deposit Account Number	20-1430
Deposit Account Name	Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity			
Fee Code (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1001 750	2001 375	375	Utility filing fee	750
1002 330	2002 165	165	Design filing fee	
1003 520	2003 260	260	Plant filing fee	
1004 750	2004 375	375	Reissue filing fee	
1005 160	2005 80	80	Provisional filing fee	

SUBTOTAL (1) (\$750)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Type	Fees from below			Fee Paid
	Total Claims	Extra Claims	Fee (\$)	
Total Claims	67	-20** = 47	×\$18 = \$846	\$846
Independent Claims	4	-3** = 1	×\$84 = \$84	\$84
Multiple Dependent		X	=	

Large Entity	Small Entity			
Fee Code (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1202 18	2202 9	9	Claims in excess of 20	
1201 84	2201 42	42	Independent claims in excess of 3	
1203 280	2203 140	140	Multiple dependent claim, if not paid	
1204 84	2204 42	42	** Reissue independent claims over original patent	
1205 18	2205 9	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$930)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Entity	Small Entity	Entity	Fee Description		Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65	65	1053 130	1053 130	Surcharge - late filing fee or oath	
1052 50	2052 25	25	1812 2,520	1812 2,520	Surcharge - late provisional filing fee or cover sheet.	
			1804 920*	1804 920*	Non-English specification	
			1805 1,840*	1805 1,840*	For filing a request for reexamination	
			1251 110	2251 55	Requesting publication of SIR prior to Examiner action	
			1252 410	2252 205	Extension for reply within first month	
			1253 930	2253 465	Extension for reply within second month	
			1254 1,450	2254 725	Extension for reply within third month	
			1255 1,970	2255 985	Extension for reply within fourth month	
			1401 320	2401 160	Notice of Appeal	
			1402 320	2402 160	Filing a brief in support of an appeal	
			1403 280	2403 140	Request for oral hearing	
			1451 1,510	1451 1,510	Petition to institute a public use proceeding	
			1452 110	2452 55	Petition to revive – unavoidable	
			1453 1,300	2453 650	Petition to revive – unintentional	
			1501 1,300	2501 650	Utility issue fee (or reissue)	
			1502 470	2502 235	Design issue fee	
			1503 630	2503 315	Plant issue fee	
			1460 130	1460 130	Petitions to the Commissioner	
			1807 50	1807 50	Petitions related to provisional applications	
			1806 180	1806 180	Submission of Information Disclosure Stmt	
			8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
			1809 750	2809 375	Filing a submission after final rejection (37 CFR § 1.129(a))	
			1810 750	2810 375	For each additional invention to be examined (37 CFR § 1.129(b))	
			1801 750	2801 375	Request for Continued Examination (RCE)	
			1802 900	1802 900	Request for expedited examination of a design application	
			Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** (\$)

SUBMITTED BY

Name (Print/Type)	Joe G. Ackerman	Registration No. (Attorney/Agent)	24,307	Telephone	415-576-0200	
Signature					Date	September 15, 2003

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